Representations of affine Kac-Moody groups over local and global fields: a survey of some recent results

Alexander Braverman and David Kazhdan

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A. Braverman and D. Kazhdan Representations of Kac-Moody groups over lo

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The study of representations of $G(\mathcal{K})$ is important for many reasons; one of the most important being the theory of automorphic forms. These are some representations of $G(\mathbb{A}_F)$ where F is a global field (e.g. a number field) and \mathbb{A}_F is its ring of adeles.

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In both (local and global) cases the most interesting statement about the above representation is *Langlands correspondence* which relates representations of $G(\mathcal{K})$ (resp. automorphic representations of $G(\mathbb{A}_F)$) to homomorphisms from the absolute Galois group of \mathcal{K} (resp. of F) to the Langlads dual group G^{\vee} of G.

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Consider the polynomial loop group $G[t, t^{-1}]$ (this is an infinite-dimensional group ind-scheme).

One can define a central extension \tilde{G} of $G[t, t^{-1}]$:

$$1 \to \mathbb{G}_m \to \widetilde{G} \to G[t, t^{-1}] \to 1$$

The multiplicative group \mathbb{G}_m acts naturally on $G[t, t^{-1}]$ and \widetilde{G} "by loop rotation". Set

$$G_{\mathrm{aff}} = \widetilde{G} \rtimes \mathbb{G}_m, ; \mathfrak{g}_{\mathrm{aff}} = \mathrm{Lie}(G_{\mathrm{aff}}).$$

Similarly, one can consider the corresponding completed affine Kac-Moody group \widehat{G}_{aff} by replacing the polynomial loop group $G[t, t^{-1}]$ with the formal loop group G((t)).

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As was mentioned above, our dream would be to develop an analog of the above representation theories and the Langlands correspondence for the group G_{aff} or \hat{G}_{aff} (or, more generally, for any symmetrizable Kac-Moody group). This is a fascinating task by itself but we also believe that a fully developed theory of automorphic forms for \hat{G}_{aff} will have powerful applications to automorphic forms on *G* (for example using the theory of Eisenstein series). At the moment the above dream remains only a dream; however, in the recent years some interesting results about representation theory of G_{aff} over either local or global field have appeared. The purpose of this talk is to survey some of those results; more precisely, we are going to concentrate on two aspects: study of some particular Hecke algebras in the local case and the study of Eisenstein series in the global case. All the results that we are going to discuss generalize well-known results for the group *G* itself; however, the generalizations are not always straightforward and some new features appear in the affine case.

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For every *K* as above, there is a natural functor from $\mathcal{M}(G(\mathcal{K}))$ to the category of left $\mathcal{H}(G, K)$ -modules, sending every representation *V* to the corresponding space V^K of *K*-invariants and one can understand the category $\mathcal{M}(G, K)$ by studying $\mathcal{H}(G, K)$ -modules for different *K*. The example of *K* which will be of special interest to us is $K = G(\mathcal{O})$ (which is a maximal compact subgroup of $G(\mathcal{K})$). The corresponding Hecke algebra in this case is called *the spherical Hecke algebra* and it is denoted it by $\mathcal{H}_{sph}(G, \mathcal{K})$. Other cases will be discussed later.

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The algebra $\mathbb{C}(\mathcal{T}^{\vee})^{W}$ is also naturally isomorphic to the complexified Grothendieck ring $K_0(\operatorname{Rep}(G^{\vee}))$ of finite-dimensional representations of the Langlands dual group G^{\vee} (the corresponding map from $K_0(\operatorname{Rep}(G^{\vee}))$ to $\mathbb{C}(\mathcal{T}^{\vee})^{W}$ is the character map).

Thus the Satake isomorphism can be viewed as an isomorphism

 $\mathcal{H}_{\rm sph}(G,\mathcal{K})\simeq K_0({\rm Rep}(G^{\vee})).$

This interpretation is important for Langlands correspondence; in fact, the Satake isomorphism is one of the starting points for the Langlands conjectures.

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Namely, let $\varpi \in \mathcal{O}$ be a uniformizer; for $\lambda \in \Lambda$ let us denote by ϖ^{λ} the image of $\varpi \in \mathcal{K}^*$ under the map $\lambda : \mathcal{K}^* \to T(\mathcal{K}) \subset G(\mathcal{K})$. Then $G(\mathcal{K})$ is the disjoint union of the cosets $G(\mathcal{O}) \cdot \varpi^{\lambda} \cdot G(\mathcal{O})$ when λ runs over Λ_+ . For every $\lambda \in \Lambda_+$ we denote by $h_{\lambda} \in \mathcal{H}_{sph}(G, \mathcal{K})$ the characteristic function of the corresponding double coset. This is a

basis of $\mathcal{H}_{sph}(G, \mathcal{K})$.

Question: What happens to this basis under Satake isomorphism? Answer was given by Macdonald in 1968.

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Let W_{λ} is the stabilizer of λ in W and set

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Namely, let $\varpi \in \mathcal{O}$ be a uniformizer; for $\lambda \in \Lambda$ let us denote by ϖ^{λ} the image of $\varpi \in \mathcal{K}^*$ under the map $\lambda : \mathcal{K}^* \to T(\mathcal{K}) \subset G(\mathcal{K})$.

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$$\mathcal{S}(h_{\lambda}) = \frac{q^{\langle \lambda, \rho^{\vee} \rangle}}{W_{\lambda}(q^{-1})} \sum_{w \in W} w \left(e^{\lambda} \frac{\prod_{\alpha \in R_{+}} 1 - q^{-1} e^{-\alpha}}{\prod_{\alpha \in R_{+}} 1 - e^{-\alpha}} \right)$$

Here ρ^{\vee} is the half-sum of the positive roots of *G*.

Reformulation: For $\lambda \in \Lambda_+$ let $L(\lambda)$ denote the irreducible representation of G^{\vee} with highest weight λ .

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Let $\lambda, \mu \in \Lambda_+$. Then $S^{-1}([L(\lambda)])(\varpi^{\mu})$ is a certain q-analog of the weight multiplicity dim $L(\lambda)_{\mu}$ (i.e. it is a polynomial in q with integral coefficients whose value at q = 1 is equal to the weight multiplicity).

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Example. Let $G = \mathbb{G}_m$. Then $\mathcal{H}_{sph}(G_{aff}, \mathcal{K})$ is isomorphic (as a graded algebra) to the coordinate ring of the Tate elliptic curve E_v .

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The algebra $\mathcal{H}_{sph}(G_{aff}, \mathcal{K})$ is canonically isomorphic to $\mathbb{C}(\widehat{T}_{aff}^{\vee})^{W_{aff}}$.

The construction of the isomorphism is similar to the usual case (but it is more tricky to check that everything is well-defined).

Assume that *G* is simple and simply connected. Define the *Langlands* dual group G_{aff}^{\lor} , which is a group ind-scheme over \mathbb{C} . G_{aff}^{\lor} is another Kac-Moody group whose Lie algebra $\mathfrak{g}_{aff}^{\lor}$ is an affine Kac-Moody algebra with root system dual to that of \mathfrak{g}_{aff} - can be a twisted affine root system!

∃ Rep(G_{aff}^{\vee}) - contains all highest weight integrable representations of finite length and also certain infinite direct sums - to make it stable under tensor product! The character map provides an isomorphism of the complexified Grothendieck ring $K_0(G_{aff}^{\vee})$ with $\mathbb{C}(\widehat{T}_{aff}^{\vee})^{W_{aff}}$. The grading on $K_0(G_{aff})$ comes from the central charge of G_{aff}^{\vee} -modules and the action of the variable *v* comes from tensoring G_{aff}^{\vee} -modules by the one-dimensional representation coming from the homomorphism $G_{aff}^{\vee} \to \mathbb{C}^*$.

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Affine Macdonald formula

Want: Analog of Macdonald formula in the affine case.

The (topological) basis $\{h_{\lambda}\}$ of $\mathcal{H}_{sph}(G_{aff}, \mathcal{K})$ can be defined as in the finite-dimensional case. One might expect that

$$\mathcal{S}_{\mathrm{aff}}(h_{\lambda}) = rac{q^{\langle \lambda,
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Here $R_{+,aff}$ is the set of positive coroots of G_{aff} , m_{α} is the multiplicity of α . This is wrong already for $\lambda = 0!$ l.e.:

$$\mathcal{S}_{\mathrm{aff}}(h_0) = 1 \neq \Delta := \frac{1}{W_{\mathrm{aff}}(q^{-1})} \sum_{w \in W_{\mathrm{aff}}} w \left(\frac{\prod\limits_{\alpha \in R_{\mathrm{aff},+}} 1 - q^{-1} e^{-\alpha}}{\prod\limits_{\alpha \in R_{\mathrm{aff},+}} 1 - e^{-\alpha}} \right)^{m_{\alpha}}$$

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One can study the Hecke algebras for more general $K \subset G$.

- **Important example:** The Iwahori group $I \subset G(\mathcal{O})$ (preimage of a Borel subgroup under the map $G(\mathcal{O}) \to G(\Bbbk)$.
- The algebra $\mathcal{H}(G, I)$ can be described by generators and relations; it is known as the *affine Hecke algebra* of *G*. It can be defined for any
- $q \in \mathbb{C}^*$ and for q = 1 it becomes $\mathbb{C}[W_{aff}]$.
- One can consider $I_{aff} \subset G_{aff}(\mathcal{O})$ and the algebra $\mathcal{H}(G_{aff}(\mathcal{K})^+, I_{aff})$ is well-defined (no completion is needed!).
- **Braverman-Kazhdan-Patnaik:** $\mathcal{H}(G_{aff}(\mathcal{K})^+, I_{aff})$ is isomorphic to a twisted version of Cherednik's DAHA (in particular, it is also defined for any $q \in \mathbb{C}^*$).
- **Interesting corollary:** The above "twisted version" of DAHA has a basis parametrized by $I_{aff} \setminus G_{aff}(\mathcal{K})^+ / I_{aff}$. No algebraic description of this basis is known.

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The Satake isomorphism has a geometric counterpart, called *the geometric Satake isomorphism*.

Namely, replace $calK = \mathbb{C}((s))$ (and $\mathcal{O} = \mathbb{C}[[s]]$); set $Gr = G(\mathcal{K})/G(\mathcal{O})$ (the affine Grassmannian).

Theorem (Geometric Satake isomorphism)

The tensor category of $G(\mathcal{O})$ -equivariant perverse sheaves on Gr is equivalent to $\operatorname{Rep}(G^{\vee})$.

A partial generalization of this equivalence (and various constructions around it) to the affine case was given in the paper of Braverman and Finkelberg; geometry of moduli spaces of *G*-bundles on algebraic surfaces plays an important role there!

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Unramified automorphic forms over functional fields

We shall now discuss some results for global fields. We'll be very sketchy!

Let *X* be a smooth projective geometrically irreducible curve over a finite filed $\mathbb{k} = \mathbb{F}_q$. Let also *G* be a split semi-simple simply connected group over \mathbb{k} . We set $F = \mathbb{k}(X)$; this is a global field and we let \mathbb{A}_F denote its ring of adeles. We also denote by $\mathcal{O}(\mathbb{A}_F)$ ring of integral adeles.

It is well-known that the double quotient

 $G(\mathcal{O}(\mathbb{A}_F))\setminus G(\mathbb{A}_F)/G(F)\simeq \operatorname{Bun}_G(X).$

Here $\operatorname{Bun}_G(X)$ denotes the set of \mathbb{F}_q -points of the moduli space of *G*-bundles on *X* (in practice, it is often convenient to treat it as a groupoid; the above isomorphism can then be upgraded to an equivalence of groupoids).

(Complex valued) functions on $Bun_G(X)$ will usually be referred to as unramified automorphic forms. We denote the space of such functions by $\mathbb{C}(Bun_G(X))$.

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Assume that $f \in \mathbb{C}(\text{Bun}_G(X))$ is an eigen-function of all the $\mathcal{H}_v(G)$. The corresponding eigen-value is an element $g_v(f) \in G^{\vee}$ for all places v. Given a finite-dimensional representation $\rho : G^{\vee} \to \text{Aut}(V)$, define by

$$L_G(f,
ho,s)=\prod_{v}rac{1}{\det(1-q_v^{-s}
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Langlands proved meromorphic continuation for $L(f, \rho, s)$ in a number of cases using the theory of Eisenstein series.

Let $P \subset G$ be parabolic subgroup and let M be the corresponding Levi group. We have canonical maps $G \leftarrow P \rightarrow M$ which give rise to the diagram

$$\operatorname{\mathsf{Bun}}_P(X) \xrightarrow{\eta} \operatorname{\mathsf{Bun}}_M(X)$$
 $\pi \downarrow$
 $\operatorname{\mathsf{Bun}}_G(X)$

Given a function $f \in \mathbb{C}(Bun_M(X))$ we define the Eisenstein series $Eis_{GP}(f) \in \mathbb{C}(Bun_G(X))$ by setting

$$\mathsf{Eis}_{GP}(f) = \pi_! \eta^*(f).$$

$Eis_{GP}(f)$ makes sense when *f* has finite support. What happens for other functions?

The connected components of Bun_M are numbered by elements of the lattice $\Lambda_M = \operatorname{Hom}(\mathbb{G}_m, M/[M, M])$; hence any $\mathfrak{s} \in \operatorname{Hom}(\Lambda_M, \mathbb{C})$ defines a function on Bun_M whose value on the connected component corresponding to $\theta \in \Lambda_M$ is $q^{\mathfrak{s}(\theta)}$. For any any function *f* on Bun_M we denote by $f_{\mathfrak{s}}$ the product of *f* and this function.

Theorem (Langlands)

Assume that f is a Hecke eigen-form then $Eis_{GP}(f_s)$ is well-defined as a meromorphic function of s.

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$$c_{GP}(g) = \eta_! \pi^*(g).$$

The constant term is well-defined for any g. We say that g is cuspidal if $c_{GP}(g) = 0$ for all P.

Let P_{-} be a parabolic subgroup opposite to P. Let M^{\vee} be the corresponding Langlands dual group and let also \mathfrak{n}_{P}^{\vee} , be the nilpotent radical of the Lie algebra of P^{\vee} .

Langlands: For a Hecke eigen-form *f* the function $c_{GP_{-}} \circ \text{Eis}_{GP}(f_{\mathfrak{s}})$ can be expressed via $L(f, \rho, s)$ where ρ appears in \mathfrak{n}_{P}^{\vee} .

Langlands used the above results in order to prove meromorphic continuation for such *L*-functions.

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Since we are given a homomorphism $\widehat{G}_{aff} \to \mathbb{G}_m$, if such a notion makes sense, then we should have a functor

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So, in order to describe $\operatorname{Bun}_{\widehat{G}_{\operatorname{aff}}}$, it is enough to describe the groupoid $\eta^{-1}(\mathcal{L})$ for each $\mathcal{L} \in \operatorname{Pic}(X)$ in a way that the assignment $\mathcal{L} \mapsto \eta^{-1}(\mathcal{L})$ is functorial with respect to isomorphisms in $\operatorname{Pic}(X)$.

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Then $\iota^{-1}(\mathcal{L})$ is a certain ("determinant") $\operatorname{Pic}(X)$ -torsor $\operatorname{Bun}_G(\widehat{S}^0)$ over $\operatorname{Bun}_G(\widehat{S}^0)$ (its definition is also closely related to the notion of 2nd Chern class).

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Also, fix \mathcal{L} such that deg $\mathcal{L} < 0$.

It turns out that one can define the corresponding Eisenstein series and constant term. I.e. we can talk about operators $\text{Eis}_{\mathcal{L}}$, $\text{Eis}_{\mathcal{L}}^-$ acting from functions with finite support on $\text{Bun}_G(X) \times \text{Pic}(X)$ to functions on $\widetilde{\text{Bun}}_G(\widehat{S}^0_{\mathcal{L}})$.

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Typical infinite product appearing in the Theorem is of the form

 $\prod_{n=1}^{\infty} L(ns),$

where L(s) is some usual automorphic *L*-function. It should be meromorphic for Re(s) > 0 but should NOT have a continuation to any larger domain.

What kind of *L*? Assume that *G* is simply laced. Then the *L*'s for which the above infinite product will appear will be either abelian or $L(f, \rho, s)$ where ρ is the adjoint representation. It is not known that such $L(f, \rho, s)$ is meromorphic for Re(s) > 0!

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The above discussion shows that $\operatorname{Eis}_{\mathcal{L}}^{-}(f_{s})$ can be meromorphic only in some half plane. In a suitable normalization it converges for $\operatorname{Re}(s) > h^{\vee}$ (the dual Coxeter number) and should have meromorphic continuation to $\operatorname{Re}(s) > 0$.

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